SAIG-ZA

MAY 3 0 2014

MEMORANDUM FOR ALL INSPECTORS GENERAL

SUBJECT: Change to the Mission of the Department of the Army Inspector General Agency (DAIG) Information Assurance Division in Army Regulation 20-1 (Inspector General Activities and Procedures), 29 November 2010, with RAR 3 July 2012 (Policy Change Number 2)

1. Effectively immediately, the mission for DAIG's Information Assurance Division is changed from compliance inspections to oversight in accordance with Memorandum, Under Secretary of the Army, 15 May 2013, subject: Implementation Plan for Army Headquarters Transformation and Memorandum, Secretary of the Army, 28 October 2013, subject: Army Directive 2013-22 Implementation and Enforcement of the Army Information Assurance Program. The Army CIO / G-6 assumes the information assurance compliance inspection mission.

2. The specific changes to Army Regulation 20-1, chapter 5, section IV, Information Assurance Inspections, appear below in bold font.

Section IV
Information Assurance Inspections

5–8. Information assurance inspections—purpose and procedures

a. Inspectors general and information assurance. The Department of the Army Inspector General's Information Assurance (IA) Division **conducts IA oversight inspections** throughout all components of the Army **at the ACOM, ASCC, DRU, and corps levels, to include the Army National Guard and the Army Reserve. IA oversight inspections include the risk management governance process, organizational IA programs, and IA special inspections of systemic issues as directed. Additionally, inspectors general at all levels may inspect IA within their commands using a special inspection approach (which looks at systemic issues) to reinforce the IA program or to examine continuing patterns of IA noncompliance.** Information assurance is a readiness issue, and IGs at all levels must assist their commands in improving or maintaining the command's IA posture. This section, used with AR 25–2 and other DOD and Army policies, provides guidelines for conducting IA inspections at the local IG and DAIG levels.

b. Purpose and objectives of Information Assurance inspections. The Department of the Army Inspector General's IA Division conducts inspections **that provide the Army senior leadership with information about the Total Army's compliance with, and effectiveness of, IA-related statutes and policies.** Information assurance is a strategic enabler for net-centric operations and has a profound impact on the Army's

operational capability. In accordance with AR 25–2 and other applicable IA guidance, commanders are responsible for managing IA resources **and implementing and enforcing IA policy.** Commanders must also appoint IA personnel to provide technical and administrative oversight of the command's IA program. Command IGs are not part of this structure but must coordinate with the command's IA personnel to determine readiness trends that may warrant a special IG inspection of the program. The Department of the Army Inspector General's Information Assurance Division **conducts general oversight inspections of IA programs throughout the Total Army to provide a strategic perspective that strengthens the Army's IA and cyber-security readiness posture.** Commanders may task command IGs to **conduct compliance or special** IA inspections to verify or validate IA trends within the command. The objectives of these internal command inspections (**part of the** Organizational Inspection Program) are to—

(1) Improve the IA readiness and posture of the command's IA program.
(2) Determine adherence to DOD and Army IA policy, guidelines, and standards designed to reduce risk to the Army's LandWarNet.
(3) Determine and pursue systemic issues affecting the command's IA posture.
(4) Inform commanders of the status of their IA programs.

5–9. Information assurance inspection follow-up procedures
The Department of the Army's Inspector General's Information Assurance Division, in coordination with DAIG's Analysis and Inspections Follow-up Office, will coordinate all DAIG IA follow-up actions using the IA plan of action and milestones. The plan of action and milestones capture all findings during the inspection and recommend corrective action for eliminating or mitigating the related shortcoming.

5–10. The annual information assurance report
Following the completion of each fiscal year IA inspection cycle, DAIG's Information Assurance Division produces an annual IA report for the Army's senior leadership. It summarizes **findings by DAIG and other IA inspection stakeholders** for the fiscal year, identifies IA trends and systemic issues at the Army level, and formulates a way ahead for the following year. The Information Assurance Division distributes the report to ACOMs, ASCCs, DRUs, command IGs, and other IA stakeholders as required. The report is posted on the IGNET home page in the reports section for the Information Assurance Division.

5–11. Headquarters, Department of the Army inspections of systemic information assurance issues
The Department of the Army Inspector General's Information Assurance Division primarily conducts oversight inspections of the Army IA program throughout the Army. However, as stated in paragraph 5-8a, the Information Assurance Division may use the special inspection approach if the identified trends indicate that an Army-wide problem with IA is occurring or if the senior Army leadership directs the division to inspect an entire Army program from top to bottom. In these

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cases, the division will adhere to the three-phased, 17-step IG inspections process outlined in The Inspections Guide.

3. The point of contact for this policy change is Mr. Stephen M. Rusiecki, Dean of Academics and Deputy Commandant, U.S. Army Inspector General School, DSN 655-3918 / 3900 or commercial (703) 805-3918, **stephen.m.rusiecki.civ@mail.mil**.

Droit et Avant!

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